

FISCAL OPERATIONS REPORT AND APPLICATION TO PARTICIPATE (FISAP)

REPORT: AWARD PERIOD JULY 1, 1998 - JUNE 30, 1999; APPLICATION: AWARD PERIOD JULY 1, 2000 - JUNE 30, 2001

PART I. IDENTIFYING INFORMATION, CERTIFICATIONS AND WARNING

SECTION A. IDENTIFYING INFORMATION

1a. NAME AND ADDRESS OF INSTITUTION

2a. DUNS# _____

2b. SERIAL# _____ 2c. OPEID# _____

1b. MAILING ADDRESS (if different from 1a)

3. TYPE OF INSTITUTION (SELECT ONE)

☐ 3.1 PUBLIC☐ 3.2 PRIVATE/NON-PROFIT☐ 3.3 PROPRIETARY☐ A) ART☐ D) TRADE & TECHNICAL☐ B) BUSINESS☐ E) OTHER☐ C) COSMETOLOGY

4. LENGTH/TYPE OF LONGEST PROGRAM (SELECT ONE)

☐ 4.1 LESS THAN 1 YEAR☐ 4.2 1 YEAR BUT LESS THAN 2 YEARS☐ 4.3 2 YEARS BUT LESS THAN 3 YEARS☐ 4.4 3 YEARS BUT LESS THAN 4 YEARS☐ 4.5 4 YEARS

(NO HIGHER THAN A

BACCALAUREATE DEGREE)

☐ 4.6 5 YEARS OR MORE☐ 4.7 POSTBACCALAUREATE
ONLY

5. ADDITIONAL LOCATIONS:

INSTITUTIONS WITH SEPARATELY ELIGIBLE ADDITIONAL LOCATIONS WHICH WILL BE FUNDED UNDER THIS APPLICATION MUST LIST THESE LOCATIONS AND THEIR ADDRESSES AND OPEID#'S ON THE SCREEN. YOU MAY NOT FILE A SEPARATE APPLICATION FOR ANY SEPARATELY ELIGIBLE INSTITUTION LISTED HEREIN.

WE HAVE ENTERED A LIST OF SEPARATELY ELIGIBLE ADDITIONAL LOCATIONS INCLUDED IN THIS APPLICATION. YES NO

SECTION B. CERTIFICATIONS AND WARNING

WE CERTIFY THAT THE INFORMATION CONTAINED IN THIS FISAP IS IN COMPLIANCE WITH GOVERNING LEGISLATION AND REGULATIONS AND IS TRUE AND ACCURATE TO THE BEST OF OUR KNOWLEDGE. WE UNDERSTAND THAT THE INFORMATION IS SUBJECT TO AUDIT AND PROGRAM REVIEW BY REPRESENTATIVES OF THE SECRETARY OF EDUCATION.

* WARNING: ANY PERSON WHO KNOWINGLY PROVIDES FALSE OR *
* MISLEADING INFORMATION ON THIS FISAP WILL BE SUBJECT TO A *
* FINE OF UP TO \$10,000 OR IMPRISONMENT OF UP TO 5 *
* YEARS OR BOTH UNDER PROVISIONS OF THE UNITED STATES *
* CRIMINAL CODE TITLE 18 SECTION 1001. *

6. CHIEF EXECUTIVE OFFICER (PRESIDENT, CHANCELLOR, OWNER, ETC.)

SIGNATURE _____
TYPED NAME _____DATE SIGNED _____
TELEPHONE NO (____) ____-____
FAX PHONE NO (____) ____-____

7. FINANCIAL AID ADMINISTRATOR

SIGNATURE _____
TYPED NAME _____
E:MAIL ADDRESS _____DATE SIGNED _____
TELEPHONE NO (____) ____-____
FAX PHONE NO (____) ____-____

8. CHIEF FISCAL OFFICER

SIGNATURE _____
TYPED NAME _____DATE SIGNED _____
TELEPHONE NO (____) ____-____
FAX PHONE NO (____) ____-____

9. NAME & ADDRESS OF PRIVATE FINANCIAL AID CONSULTANT FIRM

NAME: _____
ADDRESS 1 _____
ADDRESS 2 _____
CITY: _____ STATE: _____ Zip: _____

APPLICATION, PART II

NAME OF INSTITUTION: _____ DUNS# _____
STATE: _____

PART II. APPLICATION TO PARTICIPATE FOR AWARD YEAR JULY 1, 2000 THROUGH JUNE 30, 2001

SECTION A. REQUEST FOR FUNDS FOR THE 2000-2001 AWARD YEAR

- | | |
|--|----------|
| 1. FEDERAL PERKINS LOAN LEVEL OF EXPENDITURES | \$ _____ |
| 2. FEDERAL PERKINS LOAN FEDERAL CAPITAL CONTRIBUTION | \$ _____ |
| 3. FSEOG FEDERAL FUNDS | \$ _____ |
| 4. FWS FEDERAL FUNDS | \$ _____ |

SECTION B. RESERVED FOR FUTURE USE

5. RESERVED FOR FUTURE USE

SECTION C. FWS AND/OR FSEOG INSTITUTIONAL SHARE WAIVER REQUEST

(APPLIES ONLY TO CERTAIN INSTITUTIONS; SEE INSTRUCTIONS)

6. MY INSTITUTION WISHES TO APPLY FOR A WAIVER BECAUSE OUR
INSTITUTION IS DESIGNATED AS ELIGIBLE FOR TITLE III.

- | | | |
|-----------|------------------------------|-----------------------------|
| 6.1 FWS | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 6.2 FSEOG | <input type="checkbox"/> YES | <input type="checkbox"/> NO |

SECTION D. FEDERAL PERKINS LOAN PROGRAM LIQUIDATION REQUEST

(APPLIES ONLY TO CERTAIN INSTITUTIONS; SEE INSTRUCTIONS)

7. MY INSTITUTION WISHES TO DISCONTINUE PARTICIPATION
IN THE FEDERAL PERKINS LOAN PROGRAM.

☐ YES ☐ NO

SECTION E: WAIVER REQUEST FOR THE UNDER USE OF FUNDS

MY INSTITUTION HAS RETURNED MORE THAN 10 PERCENT OF ITS FEDERAL
PERKINS LOAN, FSEOG, OR FWS ALLOCATION FOR THE 1998-1999 AWARD YEAR.

8. MY INSTITUTION WISHES TO APPLY FOR A WAIVER OF THE PENALTY FOR
THE UNDER USE OF FUNDS AND HAS PROVIDED A WRITTEN EXPLANATION
OF THE CIRCUMSTANCES ON THE ADDITIONAL INFORMATION SCREEN.

☐ YES ☐ NO

APPLICATION, PART II

NAME OF INSTITUTION: _____ DUNS# _____
STATE: _____

SECTION F. INFORMATION ON ENROLLMENT

9. MY INSTITUTION HAS A TRADITIONAL CALENDAR ____ YES ____ NO

INSTITUTIONS WITH A TRADITIONAL CALENDAR THAT HAD
1998-1999 ENROLLMENT, FILL IN FIELD 10

INSTITUTIONS WITH A NON-TRADITIONAL CALENDAR THAT HAD
1998-1999 ENROLLMENT, FILL IN FIELDS 13 THROUGH 25

	(A) UNDERGRADUATE	(B) GRADUATE/PROFESSIONAL
10. TOTAL NUMBER OF STUDENTS, 1998-1999	_____	_____
INSTITUTIONS THAT DID NOT HAVE 1998-1999 ENROLLMENT, FILL IN FIELDS 11 AND 12		
	(A) UNDERGRADUATE	(B) GRADUATE/PROFESSIONAL
11. ESTIMATED NUMBER OF STUDENTS, 1999-2000	_____	_____
12. PROJECTED NUMBER OF STUDENTS, 2000-2001	_____	_____

	(A) CONTINUING STUDENTS	(B) NEW STARTS
13. JULY 1 ,1998	_____	_____
14. AUGUST 1	_____	_____
15. SEPTEMBER 1	_____	_____
16. OCTOBER 1	_____	_____
17. NOVEMBER 1	_____	_____
18. DECEMBER 1	_____	_____
19. JANUARY 1 ,1999	_____	_____
20. FEBRUARY 1	_____	_____
21. MARCH 1	_____	_____
22. APRIL 1	_____	_____
23. MAY 1	_____	_____
24. JUNE 1, 1999	_____	_____
25. T O T A L	_____	_____

SECTION G. ASSESSMENTS AND EXPENDITURES

26. TOTAL TUITION AND FEES FOR THE AWARD YEAR JULY 1, 1998 - JUNE 30, 1999
27. TOTAL FEDERAL PELL GRANT EXPENDITURES FOR THE 1998-1999 AWARD YEAR
28. TOTAL EXPENDED FOR STATE GRANTS AND SCHOLARSHIPS MADE TO UNDERGRADUATES FOR THE AWARD YEAR JULY 1, 1998 TO JUNE 30 , 1999

(A) UNDERGRADUATE	(B) GRADUATE/PROFESSIONAL
\$ _____	\$ _____
\$ _____	\$ _____
\$ _____	\$ _____

SECTION H. INFORMATION ON ELIGIBLE AID APPLICANTS FOR AWARD YEAR 1998-1999

	D E P E N D E N T			I N D E P E N D E N T		
	(A)	(B)	(C)	(D)	(E)	(F)
	UNDERGRADUATE/ BACCALAUREATE			UNDERGRADUATE/ BACCALAUREATE		GRADUATE/ PROFESSIONAL
	WITHOUT 1ST PROFESSIONAL	WITH DEGREE	LESS THAN FULL TIME	WITHOUT 1ST PROFESSIONAL	WITH DEGREE	
29. STUDENT'S WITH AN "AUTOMATIC" ZERO EFC	_____	_____	_____	_____	_____	_____

TAXABLE & UNTAXED INCOME

30. \$ 0 - \$ 2,999	_____	_____	_____	\$ 0 - \$ 999	_____	_____	_____	_____	_____
31. \$ 3,000 - \$ 5,999	_____	_____	_____	\$ 1,000 - \$ 1,999	_____	_____	_____	_____	_____
32. \$ 6,000 - \$ 8,999	_____	_____	_____	\$ 2,000 - \$ 2,999	_____	_____	_____	_____	_____
33. \$ 9,000 - \$11,999	_____	_____	_____	\$ 3,000 - \$ 3,999	_____	_____	_____	_____	_____
34. \$12,000 - \$14,999	_____	_____	_____	\$ 4,000 - \$ 4,999	_____	_____	_____	_____	_____
35. \$15,000 - \$17,999	_____	_____	_____	\$ 5,000 - \$ 5,999	_____	_____	_____	_____	_____
36. \$18,000 - \$23,999	_____	_____	_____	\$ 6,000 - \$ 7,999	_____	_____	_____	_____	_____
37. \$24,000 - \$29,999	_____	_____	_____	\$ 8,000 - \$ 9,999	_____	_____	_____	_____	_____
38. \$30,000 - \$35,999	_____	_____	_____	\$10,000 - \$11,999	_____	_____	_____	_____	_____
39. \$36,000 - \$41,999	_____	_____	_____	\$12,000 - \$13,999	_____	_____	_____	_____	_____
40. \$42,000 - \$47,999	_____	_____	_____	\$14,000 - \$15,999	_____	_____	_____	_____	_____
41. \$48,000 - \$53,999	_____	_____	_____	\$16,000 - \$17,999	_____	_____	_____	_____	_____
42. \$54,000 - \$59,999	_____	_____	_____	\$18,000 - \$19,999	_____	_____	_____	_____	_____
43. \$60,000 & OVER	_____	_____	_____	\$20,000 & OVER	_____	_____	_____	_____	_____
44. T O T A L	_____	_____	_____	T O T A L	_____	_____	_____	_____	_____

FISCAL OPERATIONS REPORT, PART III

NAME OF INSTITUTION: _____ DUNS # _____

STATE: _____

PART III. FEDERAL PERKINS LOAN PROGRAM, SECTION A - FISCAL REPORT (CUMULATIVE) AS OF JUNE 30, 1999

FIELD	ITEM	(A)	NO OF BORROWERS (B)	DEBIT BALANCES (C)	CREDIT BALANCES (D)
1.1	CASH ON HAND AND IN DEPOSITORY AS OF 6/30/1999			\$ _____	
1.2	CASH ON HAND AND IN DEPOSITORY AS OF 10/31/1999	\$ _____			
2	FUNDS RECEIVABLE FROM FEDERAL GOVERNMENT			\$ _____	
3	FUNDS RECEIVABLE FROM INSTITUTION			\$ _____	
4	FUNDS ADVANCED TO STUDENTS			\$ _____	
5	LOAN PRINCIPAL COLLECTED				\$ _____
6	LOAN PRINCIPAL ASSIGNED TO AND ACCEPTED BY THE UNITED STATES				\$ _____
7	TOTAL LOAN PRINCIPAL CANCELED ON LOANS MADE PRIOR TO 07/01/1972 FOR TEACHING/MILITARY SERVICE				\$ _____
8	LOAN PRINCIPAL CANCELED FOR CERTAIN SUBJECT MATTER TEACHING SERVICE (MATH, SCIENCE, FOREIGN LANGUAGES, BILINGUAL EDUCATION)				\$ _____
9	LOAN PRINCIPAL CANCELED FOR ALL OTHER AUTHORIZED TEACHING SERVICE				\$ _____
10	LOAN PRINCIPAL CANCELED FOR MILITARY SERVICE ON LOANS MADE 07/01/1972 AND AFTER				\$ _____
11	LOAN PRINCIPAL CANCELED FOR VOLUNTEER SERVICE				\$ _____
12	LOAN PRINCIPAL CANCELED FOR LAW ENFORCEMENT AND CORRECTIONS OFFICER SERVICE				\$ _____
13	LOAN PRINCIPAL CANCELED FOR CHILD/FAMILY/EARLY INTERVENTION SERVICE				\$ _____
14	LOAN PRINCIPAL CANCELED FOR NURSE/MEDICAL TECHNICIAN SERVICE				\$ _____
15	LOAN PRINCIPAL CANCELED - DEATH/DISABILITY				\$ _____
16	LOAN PRINCIPAL CANCELED - BANKRUPTCY				\$ _____
17	LOAN PRINCIPAL CANCELED FOR LOANS DISCHARGED DUE TO CLOSED SCHOOLS				\$ _____
18	LOAN PRINCIPAL ADJUSTMENTS - OTHER				\$ _____
19	FEDERAL CAPITAL CONTRIBUTIONS				\$ _____
20	REPAYMENTS OF FUND CAPITAL TO FEDERAL GOVERNMENT			\$ _____	
21	INSTITUTIONAL CAPITAL CONTRIBUTIONS				\$ _____
22	REPAYMENTS OF FUND CAPITAL TO INSTITUTION			\$ _____	
23	INTEREST INCOME ON LOANS				\$ _____
24	OTHER INCOME				\$ _____
25	REIMBURSEMENTS TO THE FUND OF AMOUNTS CANCELED ON LOANS MADE 07/01/1972 AND AFTER				\$ _____
26.1	ADMINISTRATIVE COST ALLOWANCE	\$ _____			
26.2	COLLECTION COSTS	\$ _____			
26.3	ADMINISTRATIVE COST ALLOWANCE AND COLLECTION COSTS (CONTROL)			\$ _____	
27	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR TEACHING/MILITARY SERVICE ON LOANS MADE PRIOR TO 07/01/1972			\$ _____	
28	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR CERTAIN SUBJECT MATTER TEACHING SERVICE (MATH, SCIENCE, FOREIGN LANGUAGES, BILINGUAL EDUCATION)			\$ _____	
29	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR ALL OTHER AUTHORIZED TEACHING SERVICE ON LOANS MADE 07/01/1972 AND AFTER			\$ _____	
30	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR MILITARY SERVICE ON LOANS MADE 07/01/1972 AND AFTER			\$ _____	
31	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR VOLUNTEER SERVICE IN THE PEACE CORPS OR UNDER THE DOMESTIC VOLUNTEER SERVICE ACT OF 1973			\$ _____	
32	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR LAW ENFORCEMENT AND CORRECTIONS OFFICER SERVICE			\$ _____	
33	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR CHILD/FAMILY/EARLY INTERVENTION SERVICE			\$ _____	
34	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR NURSE/MEDICAL TECHNICIAN SERVICE			\$ _____	
35	COST OF LOAN PRINCIPAL AND INTEREST CANCELED BECAUSE OF DEATH/DISABILITY			\$ _____	
36	COST OF LOAN PRINCIPAL AND INTEREST CANCELED BECAUSE OF BANKRUPTCY			\$ _____	
37	COST OF LOAN PRINCIPAL AND INTEREST ASSIGNED TO AND ACCEPTED BY THE UNITED STATES			\$ _____	
38	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR LOANS DISCHARGED DUE TO CLOSED SCHOOLS			\$ _____	
39	OTHER COSTS OR LOSSES			\$ _____	
40	TOTAL DEBITS AND CREDITS (SUM OF FIELDS 1 THROUGH 39)			\$ _____	\$ _____

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FISCAL OPERATIONS REPORT, PART III

NAME OF INSTITUTION: _____ DUNS # _____
STATE: ____

SECTION B. FUND ACTIVITY (ANNUAL) DURING THE 1998-1999 AWARD YEAR (JULY 1, 1998 THROUGH JUNE 30, 1999)

	AMOUNT
1. FINAL ADJUSTED FEDERAL CAPITAL CONTRIBUTION (FCC) AUTHORIZATION	\$ _____
2. FCC TRANSFERRED TO:	
a. FSEOG	\$ _____
b. FWS	\$ _____
3. AMOUNT OF ADJUSTED AUTHORIZED FEDERAL CAPITAL CONTRIBUTION FOR AWARD YEAR 1998-1999 NOT REQUESTED FROM GAPS BY JUNE 30, 1999 (YOUR AWARD WILL BE REDUCED BY THIS AMOUNT NEXT SPRING. SEE INSTRUCTIONS)	\$ _____
4. INSTITUTIONAL CAPITAL CONTRIBUTION (ICC) DEPOSITED INTO THE LOAN FUND BETWEEN JULY 1, 1998 AND JUNE 30, 1999.	\$ _____
5. LOANS ADVANCED TO STUDENTS FROM THE LOAN FUND DURING THE 1998-1999 AWARD YEAR (MINUS 1998-1999 AWARD YEAR REFUNDS)	\$ _____
6. ADMINISTRATIVE COST ALLOWANCE CLAIMED FOR THE 1998-1999 AWARD YEAR (SEE INSTRUCTIONS)	\$ _____

	NUMBER OF BORROWERS (A)	AMOUNT (B)
7. TOTAL PRINCIPAL AND INTEREST REPAYED BY BORROWERS FROM ALL SOURCES DURING THE 1998-1999 AWARD YEAR	_____	\$ _____
8. IF YOUR INSTITUTION HAS A LOAN OFFICER RESPONSIBLE FOR ADMINISTERING FEDERAL PERKINS LOAN PROGRAM COLLECTIONS (OTHER THAN THE FINANCIAL AID ADMINISTRATOR OR CHIEF FISCAL OFFICER IDENTIFIED IN PART I, SECTION B), PROVIDE THE FOLLOWING INFORMATION:		

NAME: _____
TITLE: _____
TELEPHONE NUMBER: (____) ____-____
(INCLUDING AREA CODE)

FISCAL OPERATIONS REPORT, PART III

NAME OF INSTITUTION: _____ DUNS # _____
STATE: _____

SECTION C - CUMULATIVE REPAYMENT INFORMATION AS OF JUNE 30, 1999

STATUS OF BORROWERS AS OF JUNE 30, 1999 (A)	NUMBER OF BORROWERS (B)	AMOUNT LENT (C)	PRINCIPAL AMOUNT OUTSTANDING (D)
1.1 BORROWERS WHOSE LOANS ARE FULLY RETIRED	_____	_____	_____
1.2 LOANS THAT HAVE BEEN PURCHASED \$ _____	_____	_____	_____
2. BORROWERS WHOSE LOANS WERE ASSIGNED TO AND OFFICIALLY ACCEPTED BY THE DEPARTMENT OF EDUCATION AS OF JUNE 30, 1999	_____	_____	_____
3 TOTAL BORROWERS NOT IN REPAYMENT STATUS	_____	_____	_____
4. BORROWERS ON SCHEDULE IN REPAYMENT STATUS	_____	_____	_____
5.1 IN DEFAULT LESS THAN 240 DAYS (MONTHLY INSTALL- MENTS) OR LESS THAN 270 DAYS (OTHER INSTALLMENTS)	_____	_____	_____
5.2 IN DEFAULT 240 DAYS OR MORE (MONTHLY INSTALL- MENTS) OR 270 DAYS OR MORE (OTHER INSTALLMENTS), UP TO 2 YEARS	_____	_____	_____
5.3 IN DEFAULT MORE THAN 2 YEARS, UP TO 5 YEARS	_____	_____	_____
5.4 IN DEFAULT MORE THAN 5 YEARS	_____	_____	_____

COHORT DEFAULT RATE

MY INSTITUTION HAD LESS THAN 30 BORROWERS WHO ENTERED
REPAYMENT IN THE 1997-1998 AWARD YEAR _____ YES _____ NO

SECTION D. INSTITUTIONS WITH 30 OR MORE BORROWERS WHO ENTERED REPAYMENT IN THE 1997-1998 AWARD YEAR:

1.1 NUMBER OF BORROWERS WHO ENTERED REPAYMENT IN 1997-1998	0
1.2 ENTER THE NUMBER OF BORROWERS IN FIELD 1.1 ABOVE WITH LOANS IN DEFAULT BY JUNE 30, 1999	0
1.3 COHORT DEFAULT RATE (FIELD 1.2 /FIELD 1.1 * 100)	0.0

SECTION E. INSTITUTIONS WITH LESS THAN 30 BORROWERS WHO ENTERED REPAYMENT IN THE 1997-1998 AWARD YEAR:

2.1 NUMBER OF BORROWERS WHO ENTERED REPAYMENT IN:		2.2 NUMBER OF BORROWERS WITH LOANS IN DEFAULT BY:	
(a) 1995-1996 (07/01/1995 - 06/30/1996)	0	(a) JUNE 30, 1997 (THOSE IN 2.1(a) ONLY)	0
(b) 1996-1997 (07/01/1996 - 06/30/1997)	0	(b) JUNE 30, 1998 (THOSE IN 2.1(b) ONLY)	0
(c) 1997-1998 (07/01/1997 - 06/30/1998)	0	(c) JUNE 30, 1999 (THOSE IN 2.1(c) ONLY)	0
2.3 TOTAL NUMBER OF BORROWERS WHO ENTERED REPAYMENT DURING THE THREE YEARS (2.1(a) + 2.1(b) + 2.1(c))	0		
2.4 TOTAL NUMBER OF BORROWERS WITH LOANS IN DEFAULT (2.2(a) + 2.2(b) + 2.2(c))	0		
2.5 COHORT DEFAULT RATE (FIELD 2.4 /FIELD 2.3 * 100)	0.0		

FISCAL OPERATIONS REPORT, PART IV

NAME OF INSTITUTION: _____ DUNS # _____
STATE: ____

PART IV. FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT (FSEOG) PROGRAM
FOR AWARD YEAR JULY 1, 1998 THROUGH JUNE 30, 1999

SECTION A. FEDERAL FUNDS AUTHORIZED FOR FSEOG

1. FINAL ADJUSTED FSEOG AUTHORIZATION \$ _____

SECTION B. FEDERAL FUNDS AVAILABLE FOR FSEOG EXPENDITURES

2. FWS FUNDS TRANSFERRED TO AND SPENT IN FSEOG + \$ _____

3. FEDERAL PERKINS FCC FUNDS TRANSFERRED TO
AND SPENT IN FSEOG + \$ _____

4. 1999-2000 FUNDS CARRIED BACK AND SPENT IN 1998-1999 + \$ _____

5. ADDITIONAL 1999-2000 FUNDS CARRIED BACK AND SPENT FOR SUMMER
ENROLLMENT THROUGH JUNE 30, 1999 + \$ _____

6. 1998-1999 FUNDS CARRIED FOWARD TO BE SPENT IN 1999-2000 - \$ _____

7. FEDERAL FUNDS AVAILABLE FOR FSEOG (FIELDS 1 + 2 + 3 + 4 + 5 - 6) \$ _____

SECTION C. FUNDS TO FSEOG RECIPIENTS

8. TOTAL FUNDS TO FSEOG RECIPIENTS (FIELDS 9 + 10) \$ _____

9. NON-FEDERAL SHARE OF FUNDS TO FSEOG RECIPIENTS
(25% OF FIELD 8) \$ _____

a. CASH OUTLAY CONTRIBUTED \$ _____

b. OTHER INSTITUTIONAL RESOURCES DESIGNATED \$ _____

SECTION D. FEDERAL FUNDS SPENT FOR FSEOG PROGRAM

10. FEDERAL SHARE OF FUNDS TO FSEOG RECIPIENTS
(75% OF FIELD 8) \$ _____

11. ADMINISTRATIVE COST ALLOWANCE CLAIMED + \$ _____

12. FEDERAL FUNDS SPENT FOR FSEOG (FIELDS 10 + 11) \$ _____

SECTION E. USE OF FEDERAL FSEOG AUTHORIZATION

13. EXPENDED FSEOG AUTHORIZATION (FIELDS 6 + 12 - 2 - 3 - 4 - 5) \$ _____

14. UNEXPENDED FSEOG AUTHORIZATION (FIELD 1 - FIELD 13)
(CANNOT BE NEGATIVE) \$ _____

SECTION F. MISCELLANEOUS INFORMATION

15. PRIOR YEAR RECOVERIES

(a)	1997-1998	\$	_____
(b)	1996-1997	\$	_____
(c)	1995-1996	\$	_____
(d)	1994-1995	\$	_____
(e)	1993-1994	\$	_____

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FISCAL OPERATIONS REPORT, PART V

NAME OF INSTITUTION: _____ DUNS # _____
STATE: ____

PART V. FEDERAL WORK-STUDY (FWS) PROGRAM
FOR AWARD YEAR JULY 1, 1998 THROUGH JUNE 30, 1999

SECTION A. FEDERAL FUNDS AUTHORIZED FOR FWS

1. FINAL ADJUSTED FWS AUTHORIZATION \$ _____

SECTION B. FEDERAL FUNDS AVAILABLE FOR FWS EXPENDITURES

2. FEDERAL PERKINS FCC FUNDS TRANSFERRED TO AND SPENT IN FWS + \$ _____
3. FWS FUNDS TRANSFERRED TO AND SPENT IN FSEOG - \$ _____
4. 1999-2000 FUNDS CARRIED BACK AND SPENT IN 1998-1999 + \$ _____
5. ADDITIONAL 1999-2000 FUNDS CARRIED BACK AND SPENT FOR 1999 SUMMER EMPLOYMENT + \$ _____
6. 1997-1998 FUNDS CARRIED FORWARD AND SPENT IN 1998-1999 + \$ _____
7. 1998-1999 FUNDS CARRIED FORWARD TO BE SPENT IN 1999-2000 - \$ _____
8. 1998-1999 FUNDS CARRIED BACK AND SPENT IN 1997-1998 - \$ _____
9. ADDITIONAL 1998-1999 FUNDS CARRIED BACK AND SPENT FOR 1998 SUMMER EMPLOYMENT - \$ _____
10. TOTAL FUNDS AVAILABLE FOR 1998-1999 (FIELDS 1 + 2 - 3 + 4 + 5 + 6 - 7 - 8 - 9) \$ _____

SECTION C. TOTAL COMPENSATION FOR FWS

11. TOTAL EARNED COMPENSATION FOR FWS PROGRAM \$ _____
 a. ON-CAMPUS EXPENDITURES \$ _____
 b. OFF-CAMPUS EXPENDITURES AT PUBLIC OR PRIVATE NON-PROFIT AGENCIES \$ _____
 c. OFF-CAMPUS EXPENDITURES IN THE PRIVATE (FOR PROFIT) SECTOR \$ _____
12. TOTAL INSTITUTIONAL SHARE OF EARNED COMPENSATION (SEE INSTRUCTIONS) \$ _____

SECTION D. FUNDS SPENT FROM FEDERAL SHARE OF FWS

13. TOTAL FEDERAL SHARE OF FWS EARNED COMPENSATION \$ _____
 a. COMPENSATION AT FEDERAL SHARE NOT TO EXCEED 75% (UNLESS WAIVER APPLIES) \$ _____
 b. OFF-CAMPUS PRIVATE (FOR PROFIT) SECTOR COMPENSATION AT FEDERAL SHARE NOT TO EXCEED 50% \$ _____
14. ADMINISTRATIVE COST ALLOWANCE CLAIMED + \$ _____
15. FEDERAL SHARE OF JOB LOCATION AND DEVELOPMENT PROGRAM EXPENDITURES + \$ _____
16. TOTAL FEDERAL FUNDS SPENT FOR FWS (SUM OF FIELDS 13 THROUGH 15) \$ _____

FISCAL OPERATIONS REPORT, PART V

NAME OF INSTITUTION: _____ DUNS # _____
STATE: ____

SECTION E. USE OF FEDERAL FWS AUTHORIZATION

17. EXPENDED FWS AUTHORIZATION (FIELDS 3 + 7 + 8 + 9 + 16) MINUS (FIELDS 2 + 4 + 5 + 6) \$ _____
18. UNEXPENDED FWS AUTHORIZATION (FIELD 1 - FIELD 17) \$ _____

SECTION F. MISCELLANEOUS INFORMATION

19. PRIOR YEAR RECOVERIES
- | | |
|---------------|----------|
| (a) 1997-1998 | \$ _____ |
| (b) 1996-1997 | \$ _____ |
| (c) 1995-1996 | \$ _____ |
| (d) 1994-1995 | \$ _____ |
| (e) 1993-1994 | \$ _____ |

SECTION G. INFORMATION ABOUT THE JOB LOCATION AND DEVELOPMENT PROGRAM (JLD)

20. TOTAL EXPENDITURES FOR THE JOB LOCATION AND DEVELOPMENT PROGRAM \$ _____
21. INSTITUTIONAL EXPENDITURES FOR THE JLD PROGRAM (SEE INSTRUCTIONS) \$ _____
22. NUMBER OF STUDENTS FOR WHOM JOBS WERE LOCATED OR DEVELOPED _____
23. TOTAL EARNINGS OF THE STUDENTS IN FIELD 22 ABOVE \$ _____

SECTION H. INFORMATION ABOUT FWS COMMUNITY SERVICE ACTIVITIES

24. NUMBER OF STUDENTS IN COMMUNITY SERVICE EMPLOYMENT _____
25. FEDERAL SHARE OF COMMUNITY SERVICE EARNED COMPENSATION \$ _____
26. NON-FEDERAL SHARE OF COMMUNITY SERVICE EARNED COMPENSATION \$ _____

SECTION I. INFORMATION ABOUT FWS READING TUTORS OF CHILDREN AND
TUTORS IN FAMILY LITERACY PROGRAMS

27. NUMBER OF STUDENTS EMPLOYED AS FWS READING TUTORS OF
CHILDREN AND TUTORS IN FAMILY LITERACY PROGRAMS _____
28. FEDERAL SHARE OF EARNED COMPENSATION FOR FWS READING TUTORS
OF CHILDREN AND TUTORS IN FAMILY LITERACY PROGRAMS \$ _____
29. TOTAL EARNED COMPENSATION FOR FWS READING TUTORS OF CHILDREN
AND TUTORS IN FAMILY LITERACY PROGRAMS \$ _____

FISCAL OPERATIONS REPORT, PART VI

NAME OF INSTITUTION: _____ DUNS # _____
STATE: _____

PART VI. PROGRAM SUMMARY FOR AWARD YEAR JULY 1, 1998 THROUGH JUNE 30, 1999

SECTION A. DISTRIBUTION OF PROGRAM RECIPIENTS AND EXPENDITURES BY TYPE OF STUDENT

TAXABLE & UNTAXED INCOME CATEGORY STUDENT TYPE	FEDERAL PERKINS LOAN (A) RECIPIENTS	(B) FUNDS	F S E O G (C) RECIPIENTS	(D) FUNDS	F W S (E) RECIPIENTS	(F) FUNDS	(G) UNDUPLICATED RECIPIENTS
UNDERGRADUATE DEPENDENT							
1. \$ 0 - \$ 5,999	_____	_____	_____	_____	_____	_____	_____
2. \$ 6,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____
3. \$12,000 - \$23,999	_____	_____	_____	_____	_____	_____	_____
4. \$24,000 - \$29,999	_____	_____	_____	_____	_____	_____	_____
5. \$30,000 - \$41,999	_____	_____	_____	_____	_____	_____	_____
6. \$42,000 - \$59,999	_____	_____	_____	_____	_____	_____	_____
7. \$60,000 AND OVER	_____	_____	_____	_____	_____	_____	_____
UNDERGRADUATE INDEPENDENT							
8. \$ 0 - \$ 1,999	_____	_____	_____	_____	_____	_____	_____
9. \$ 2,000 - \$ 3,999	_____	_____	_____	_____	_____	_____	_____
10. \$ 4,000 - \$ 7,999	_____	_____	_____	_____	_____	_____	_____
11. \$ 8,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____
12. \$12,000 - \$15,999	_____	_____	_____	_____	_____	_____	_____
13. \$16,000 - \$19,999	_____	_____	_____	_____	_____	_____	_____
14. \$20,000 AND OVER	_____	_____	_____	_____	_____	_____	_____
15. GRADUATE/PROFESSIONAL	_____	_____	DOES NOT APPLY	DOES NOT APPLY	_____	_____	_____
16. T O T A L	_____	_____	_____	_____	_____	_____	_____
17. TOTAL LESS THAN FULL TIME STUDENTS	_____	_____	_____	_____	_____	_____	_____
18. TOTAL "AUTOMATIC" ZERO EFC STUDENTS	_____	_____	_____	_____	_____	_____	_____

FISCAL OPERATIONS REPORT, PART VI

NAME OF INSTITUTION: _____ DUNS # _____
STATE: _____

Administrative Cost Allowance Worksheet (Worksheet must be retained for audit and program reviews)

Section B. Calculating the Administrative Cost Allowance

STEP 1 Calculate the amount spent in 1998-1999 on which the Administrative Cost Allowance is based:

- | | |
|--|------------|
| 1. Total compensation in FWS (amount from Part V, Section C, FIELD 11) | \$ _____ |
| 2. Amount of Federal Perkins Loan funds advanced to students
(amount from Part III, Section B, FIELD 5) | + \$ _____ |
| 3. Total funds to FSEOG recipients
(amount from Part IV, Section C, FIELD 8) | + \$ _____ |
| 4. TOTAL Amount Spent (FIELDS 1 + 2 + 3) | \$ _____ |

STEP 2 Calculate the Administrative Cost Allowance (Complete only ONE subsection):

Institutions whose total amount spent was \$2,750,000 OR LESS

- | | |
|--|----------|
| 5. Enter total amount spent (FIELD 4) | \$ _____ |
| 6. Multiply | x 0.05 |
| 7. TOTAL Administrative Cost Allowance
(GO TO STEP 3) | \$ _____ |

Institutions whose total amount spent was MORE THAN \$2,750,000 but LESS THAN \$5,500,000

- | | |
|---|-----------------------|
| 8. Enter total amount spent (FIELD 4) | \$ <u>N / A</u> |
| 9. Subtract | - \$ <u>2,750,000</u> |
| 10. Expenditures over \$2,750,000 (FIELD 8 - FIELD 9) | \$ <u>N / A</u> |
| 11. Multiply | x 0.04 |
| 12. Administrative Cost Allowance on expenditures over
\$2,750,000 (FIELD 10 x FIELD 11) | \$ <u>N / A</u> |
| 13. Add Administrative Cost Allowance on expenditures of
\$2,750,000 | + \$ <u>137,500</u> |
| 14. TOTAL Administrative Cost Allowance (FIELD 12 + FIELD 13)
(GO TO STEP 3) | \$ <u>N / A</u> |

Institutions whose total amount spent was \$5,500,000 OR MORE

- | | |
|---|-----------------------|
| 15. Enter total amount spent (FIELD 4) | \$ <u>N / A</u> |
| 16. Subtract | - \$ <u>5,500,000</u> |
| 17. Expenditures over \$5,500,000 (FIELD 15 - FIELD 16) | \$ <u>N / A</u> |
| 18. Multiply | x 0.03 |
| 19. Administrative Cost Allowance on expenditures over
\$5,500,000 (FIELD 17 x FIELD 18) | \$ <u>N / A</u> |
| 20. Add Administrative Cost Allowance on expenditures
of \$5,500,000 | + \$ <u>247,500</u> |
| 21. TOTAL Administrative Cost Allowance (FIELD 19 + FIELD 20)
(GO TO STEP 3) | \$ <u>N / A</u> |

STEP 3 Decide how much Administrative Cost Allowance the Institution claimed:

- | | |
|---|----------|
| 22. How much Administrative Cost did the Institution claim
(The amount may be the same or less than the amount calculated in Step 2) | \$ _____ |
| 23. How much Administrative Cost did the institution claim in each program ? | |
| a. Federal Perkins loan (must be same as Part III, Sect. B, FIELD 6) | \$ _____ |
| b. FSEOG (must be the same as Part IV, Section D, FIELD 11) | \$ _____ |
| c. FWS (must be the same as Part V, Section D, FIELD 14) | \$ _____ |

ADDITIONAL INFORMATION PAGE FOR FISCAL OPERATIONS REPORT 1998-1999 AND APPLICATION TO PARTICIPATE FOR 2000-2001

This image shows a full page of blank, lined paper. It features approximately 20 evenly spaced horizontal grey lines across its entire width, providing a guide for handwriting or typing. The background is a solid off-white color.